

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
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|       |   |    |   |                        |              |
|-------|---|----|---|------------------------|--------------|
| Sheet | 1 | of | 6 | Attorney Docket Number | 2500-2287.06 |
|-------|---|----|---|------------------------|--------------|

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|                      |                       |
|----------------------|-----------------------|
| Application Number   | 5,101,766 1,446,272   |
| Filing Date          | 7-23-04               |
| First Named Inventor | Woonza M. RHEE et al. |
| Art Unit             | 3700                  |
| Examiner Name        | C. Rosenthal          |

## U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. | Document No. | Issue Date or Publication Date | Name of Patentee or Applicant of Cited Document | Class | Subclass | Filing Date if Appropriate |
|--------------------|----------|--------------|--------------------------------|---|-------|----------|----------------------------|
| CR                 | AA       | 3,619,371    | 11/1971                        | Crook et al.                                    |       |          |                            |
|                    | AB       | 3,742,955    | 7/1973                         | Battista et al.                                 |       |          |                            |
|                    | AC       | 3,788,948    | 1/1974                         | Kegadal et al.                                  |       |          |                            |
|                    | AD       | 3,810,473    | 5/1974                         | Cruz, Jr. et al.                                |       |          |                            |
|                    | AE       | 3,876,501    | 4/1975                         | Hanushevsky                                     |       |          |                            |
|                    | AF       | 3,949,073    | 4/1976                         | Daniels et al.                                  |       |          |                            |
|                    | AG       | 3,960,830    | 6/1976                         | Bayer et al.                                    |       |          |                            |
|                    | AH       | 4,002,531    | 1/1977                         | Royer   |       |          |                            |
|                    | AI       | 4,055,635    | 10/1977                        | Green et al.                                    |       |          |                            |
|                    | AJ       | 4,088,538    | 5/1978                         | Schneider                                       |       |          |                            |
|                    | AK       | 4,101,380    | 7/1978                         | Rubinstein et al.                               |       |          |                            |
|                    | AL       | 4,164,559    | 8/1979                         | Miyata et al.                                   |       |          |                            |
|                    | AM       | 4,179,337    | 12/1979                        | Davis et al.                                    |       |          |                            |
|                    | AN       | 4,192,021    | 3/1980                         | Deibig et al.                                   |       |          |                            |
|                    | AO       | 4237,229     | 12/2/80                        | Hartdegen et al.                                |       |          |                            |
|                    | AP       | 4,238,480    | 12/1980                        | Sawyer  |       |          |                            |
|                    | AQ       | 4,261,973    | 4/1981                         | Lee et al.                                      |       |          |                            |
|                    | AR       | 4,279,812    | 7/1981                         | Cioca   |       |          |                            |
|                    | AS       | 4,301,144    | 11/1981                        | Iwashita et al.                                 |       |          |                            |
|                    | AT       | 4,314,380    | 2/1982                         | Miyata  |       |          |                            |
|                    | AU       | 4,320,201    | 3/1982                         | Berg et al.                                     |       |          |                            |
|                    | AV       | 4,357,274    | 11/1982                        | Werner  |       |          |                            |
|                    | AW       | 4,390,519    | 6/1983                         | Sawyer  |       |          |                            |
|                    | AX       | 4,404,970    | 9/1983                         | Sawyer  |       |          |                            |
|                    | AY       | 4,412,947    | 11/1983                        | Cioca   |       |          |                            |
|                    | AZ       | 4,412,989    | 11/1983                        | Iwashita  |       |          |                            |
|                    | BA       | 4,414,147    | 11/1983                        | Klibanov et al.                                 |       |          |                            |
|                    | BB       | 4,415,628    | 11/1983                        | Cioca et al.                                    |       |          |                            |
|                    | BC       | 4,415,665    | 11/1983                        | Mosbach et al.                                  |       |          |                            |
|                    | BD       | 4,424,208    | 1/1984                         | Wallace et al.                                  |       |          |                            |
|                    | BE       | 4,451,568    | 5/1984                         | Sneider et al.                                  |       |          |                            |
|                    | BF       | 4,488,911    | 12/1984                        | Luck et al.                                     |       |          |                            |
|                    | BG       | 4,495,285    | 1/1985                         | Shimizu et al.                                  |       |          |                            |
|                    | BH       | 4,496,689    | 1/1985                         | Mitra   |       |          |                            |
|                    | BI       | 4,515,637    | 5/1985                         | Cioca   |       |          |                            |
|                    | BJ       | 4,544,516    | 10/1985                        | Hughes et al.                                   |       |          |                            |
|                    | BK       | 4,553,974    | 11/1985                        | Dewanjee  |       |          |                            |
|                    | BL       | 4,557,764    | 12/1985                        | Chu   |       |          |                            |
|                    | BM       | 4,563,350    | 1/1986                         | Nathan et al.                                   |       |          |                            |
|                    | BN       | 4,563,351    | 1/1986                         | Nathan et al.                                   |       |          |                            |
|                    | BO       | 4,563,490    | 1/1986                         | Stol et al.                                     |       |          |                            |
|                    | BP       | 4,578,067    | 3/1986                         | Cruz, Jr.                                       |       |          |                            |
|                    | BQ       | 4,582,640    | 4/1986                         | Smestad et al.                                  |       |          |                            |

|                    |                     |                 |          |
|--------------------|---------------------|-----------------|----------|
| Examiner Signature | <i>C. Rosenthal</i> | Date Considered | 12-30-04 |
|--------------------|---------------------|-----------------|----------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**INFORMATION DISCLOSURE  
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|       |   |    |   | Complete if Known      |                       |
|-------|---|----|---|------------------------|-----------------------|
|       |   |    |   | Application Number     | 10/176061460          |
|       |   |    |   | Filing Date            | 11-27-04              |
|       |   |    |   | First Named Inventor   | Woonza M. RHEE et al. |
|       |   |    |   | Art Unit               | 1615                  |
|       |   |    |   | Examiner Name          | C. Rosenthal          |
| Sheet | 2 | of | 6 | Attorney Docket Number | 2500-2287.06          |

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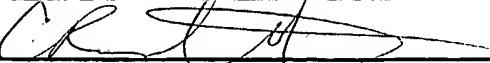
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|------------------------|-----------------------|--|--|-------------------|--|
| Application Number     | 01/014,762            |  |  |                   |  |
| Filing Date            | 01/01/01              |  |  |                   |  |
| First Named Inventor   | Woonza M. RHEE et al. |  |  |                   |  |
| Art Unit               | Unclassified          |  |  |                   |  |
| Examiner Name          | John Rosenthal        |  |  |                   |  |
| Attorney Docket Number | 2500-2287.06          |  |  |                   |  |

Sheet 3 of 6

**U.S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. | Document No. | Issue Date or Publication Date | Name of Patentee or Applicant of Cited Document | Class | Subclass | Filing Date if Appropriate |
|--------------------|----------|--------------|--------------------------------|---|-------|----------|----------------------------|
| CR                 | DI       | 5,264,214    | 11/1993                        | Rhee et al.                                     |       |          |                            |
|                    | DJ       | 5,290,552    | 3/1994                         | Sierra et al.                                   |       |          |                            |
|                    | DK       | 5,292,802    | 3/1994                         | Rhee et al.                                     |       |          |                            |
|                    | DL       | 5,298,643    | 3/1994                         | Greenwald                                       |       |          |                            |
|                    | DM       | 5,304,595    | 4/1994                         | Rhee et al.                                     |       |          |                            |
|                    | DN       | 5,306,500    | 4/1994                         | Rhee et al.                                     |       |          |                            |
|                    | DO       | 5,308,889    | 5/1994                         | Rhee et al.                                     |       |          |                            |
|                    | DP       | 5,321,095    | 6/1994                         | Greenwald                                       |       |          |                            |
|                    | DQ       | 5,324,775    | 6/1994                         | Rhee et al.                                     |       |          |                            |
|                    | DR       | 5,324,844    | 6/1994                         | Zalipsky  |       |          |                            |
|                    | DS       | 5,328,955    | 7/1994                         | Rhee et al.                                     |       |          |                            |
|                    | DT       | 5,349,001    | 9/1994                         | Greenwald et al.                                |       |          |                            |
|                    | DU       | 5,354,336    | 10/1994                        | Kelman et al.                                   |       |          |                            |
|                    | DV       | 5,364,622    | 11/1994                        | Franz et al.                                    |       |          |                            |
|                    | DW       | 5,405,877    | 4/1995                         | Greenwald et al.                                |       |          |                            |
|                    | DX       | 5,410,016    | 4/1995                         | Hubbell et al.                                  |       |          |                            |
|                    | DY       | 5,428,022    | 6/1995                         | Palefsky et al.                                 |       |          |                            |
|                    | DZ       | 5,455,027    | 10/1995                        | Zalipsky et al.                                 |       |          |                            |
|                    | EA       | 5,475,052    | 12/1995                        | Rhee et al.                                     |       |          |                            |
|                    | EB       | 5,510,418    | 4/1996                         | Rhee et al.                                     |       |          |                            |
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|                    | EG       | 5,580,923    | 12/1996                        | Yeung et al.                                    |       |          |                            |
|                    | EH       | 5,605,976    | 2/1997                         | Martinez et al.                                 |       |          |                            |
|                    | EI       | 5,612,460    | 3/1997                         | Zalipsky  |       |          |                            |
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|                    | EK       | 5,614,587    | 3/1997                         | Rhee et al.                                     |       |          |                            |
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|                    | EQ       | 5,752,974    | 5/1998                         | Rhee et al.                                     |       |          |                            |
| W                  | ER       | 5,874,500    | 2/1999                         | Rhee et al.                                     |       |          |                            |
|                    | ES       | 6,051,648    | 4/00                           | Rhee et al.                                     |       |          |                            |

| Examiner Signature |  | Date Considered | 12-30-04 |
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Sheet 4 of 6

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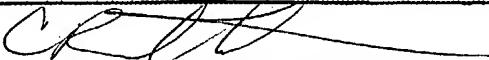
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|------------------------|-----------------------|
| Application Number     | 101,766,146           |
| Filing Date            | 1-27-04               |
| First Named Inventor   | Woonza M. RHEE et al. |
| Art Unit               | 1615                  |
| Examiner Name          | C. Rosenthal          |
| Attorney Docket Number | 2500-2287.06          |

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| Examiner Initials* | Cite No. | Foreign Patent Document No. | Publication Date | Country        | Class | Subclass | T |
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|                    | EU       | EP 0013249                  | 1/1980           | Europe         |       |          |   |
|                    | EV       | EP 0042253                  | 12/1981          | Europe         |       |          |   |
|                    | EW       | EP 0154447                  | 9/1985           | Europe         |       |          |   |
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|                    | EY       | EP 0171176                  | 2/1986           | Europe         |       |          |   |
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|                    | FA       | EP 0330389                  | 8/1989           | Europe         |       |          |   |
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|                    | FE       | EP 0575273                  | 12/1993          | Europe         |       |          |   |
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|                    | FG       | EP 0656214                  | 6/1995           | Europe         |       |          |   |
|                    | FH       | EP 0656215                  | 6/1995           | Europe         |       |          |   |
|                    | FI       | EP 0680990                  | 11/1995          | Europe         |       |          |   |
|                    | FJ       | EP 0732109                  | 9/1996           | Europe         |       |          |   |
|                    | FK       | FR 2628634                  | 9/1989           | France         |       |          |   |
|                    | FL       | JP 4-227265                 | 4/1990           | Japan          |       |          |   |
|                    | FM       | JP 60-70972                 | 3/1994           | Japan          |       |          |   |
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|                    | FP       | WO 85/04412                 | 10/1985          | PCT WO         |       |          |   |
|                    | FQ       | WO 87/04078                 | 7/1987           | PCT WO         |       |          |   |
|                    | FR       | WO 90/05755                 | 5/1990           | PCT WO         |       |          |   |
|                    | FS       | WO 92/13025                 | 8/1992           | PCT WO         |       |          |   |
|                    | FT       | WO 92/13578                 | 8/1992           | PCT WO         |       |          |   |
|                    | FU       | WO 94/01483                 | 1/1994           | PCT WO         |       |          |   |
|                    | FV       | WO 94/03155                 | 2/1994           | PCT WO         |       |          |   |
| ↓                  | FW       | GB 1059455                  | 2/22/67          | United Kingdom |       |          |   |

## OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T |
|--------------------|----------|---|---|
| CR                 | FX       | Poly(Ethylene Glycol) Chemistry: Biotechnical & Biomedical Applications, Chapter 22, J. Milton Harris, Ed., Plenum Press, NY (1992).  |   |
|                    | FY       | Abuchowski et al. (1977), "Alteration of immunological properties of bovine serum albumin by covalent attachment of polyethylene glycol," <i>Biol. Chem.</i> 252(11):3578-3581.   |   |
|                    | FZ       | Abuchowski et al. (1984), "Cancer therapy with chemically modified enzymes. I. Antitumor properties of polyethylene glycol-asparaginase conjugates," <i>Cancer Biochem. Biophys.</i> 7:175-186.   |   |
| ↓                  | GA       | Abuchowski et al. (1977), "Effect of covalent attachment of polyethylene glycol on immunogenicity and circulating life of bovine liver catalase," <i>J. Biol. Chem.</i> 252(11):3582-3586.  |   |

|                    |   |                 |          |
|--------------------|---|-----------------|----------|
| Examiner Signature |  | Date Considered | 12-30-04 |
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Sheet

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|------------------------|-----------------------|
| Application Number     | 10/766,146            |
| Filing Date            | 11-27-04              |
| First Named Inventor   | Woonza M. RHEE et al. |
| Art Unit               | 1615                  |
| Examiner Name          | C. Rosenthal          |
| Attorney Docket Number | 2500-2287.06          |

| OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS |          |  |   |
|--|----------|--|---|
| Examiner Initials*                               | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.              | T |
| CR   | GB       | Anderson et al. (1964), "The use of esters of n-hydroxysuccinimide in peptide synthesis," [???] 86:1839-1842.  |   |
|  | GC       | Beauchamp et al. (1983), "A new procedure for the synthesis of polyethylene glycol-protein adducts: Effects on function, receptor recognition, and clearance of superoxide dismutase, lactoferrin, and $\alpha_2$ -macroglobulin," <i>Analytical Biochemistry</i> 131:25-33. |   |
|  | GD       | Bendich et al. (1982), "Immunological effects of native and polyethylene glycol-modified asparaginases from <i>Vibrio succinogenes</i> and <i>Escherichia coli</i> in normal and tumor-bearing mice," <i>Clin. Exp. Immunol.</i> 48:273-278.                                 |   |
|  | GE       | Braatz et al. (1992), "A New Hydrophilic Polymer for Biomaterial Coatings with Low Protein Adsorption," <i>J. Biomater. Sci. Polymer Edn.</i> 3(6):451-462.  |   |
|  | GF       | Chen et al. (1981), "Properties of two urate oxidases modified by the covalent attachment of poly(ethylene glycol)," <i>Biochem. Biophys. Acta</i> 660:293-298.  |   |
|  | GG       | Chvapil et al. (1969), "Some chemical and biological characteristics of a new collagen-polymer compound material," <i>J. Biomed. Mater. Res.</i> 3:315-332.  |   |
|  | GH       | Davis et al. (1981), "Hypouricaemic effect of polyethyleneglycol modified urate oxidase," <i>Lancet</i> 2:281-283.   |   |
|  | GI       | Doillon et al. (1986), <i>J. Biomed. Mat. Res.</i> 20(8):1219-1228.  |   |
|  | GJ       | Ferruti (1981), "Succinic half-esters of poly(ethylene glycol)s and their benzotriazole and imidazole derivatives as oligomeric drug-binding matrices," <i>Makromol. Chem.</i> 182:2183-2192.  |   |
|  | GK       | Fleisher et al. (1987), "Regeneration of lost attachment apparatus in the dog using polygalactin-910," <i>J. Dent. Res.</i> 281(66 spec.), Abstract No. 1393.  |   |
|  | GL       | Gander et al. (1988), "Crosslinked poly(alkylene oxides) for the preparation of controlled release micromatrices," <i>J. Controlled Release</i> 5:271-283.   |   |
|  | GM       | Gnanou et al. (1984), "Hydrophilic polyurethane networks based on poly(ethylene oxide): Synthesis, characterization, and properties. Potential applications as biomaterials," <i>Macromolecules</i> 17:945-952.  |   |
|  | GN       | Gomel et al. (1992), "Infertility surgery: Microsurgery," <i>Current Opinion in Obstetrics and Gynecology</i> 4:390-399.   |   |
|  | GO       | Inada et al. (1984), "Ester synthesis catalyzed by polyethylene glycol-modified lipase in benzene," <i>Biochem. &amp; Biophys. Res. Comm.</i> 122:845-850.   |   |
|  | GP       | Katre et al. (1987), "Chemical modification of recombinant interleukin 2 by polyethylene glycol increases its potency in the murine meth A sarcoma model," <i>Proc. Natl. Acad. Sci. USA</i> 84:1487-1491.   |   |
|  | GQ       | McPherson et al. (1988), <i>Collagen and Related Research Clinical and Experimental</i> 8(1):83-100.   |   |
|  | GR       | Nathan et al. (1993), "Copolymers of lysine and polyethylene glycol: A new family of functionalized drug carriers," <i>Bioc conjugate Chem.</i> 4:54-62.   |   |
|  | GS       | Nishida et al. (1984), "Hypouricaemic effect after oral administration in chickens of polyethylene glycol-modified uricase entrapped in liposomes," <i>J. Pharm. Pharmacol.</i> 36:354-355.  |   |
|  | GT       | Pados et al. (1992), "Adhesions," <i>Current Opinion in Obstetrics and Gynecology</i> 4:421-428.   |   |
|  | GU       | Pagidas et al. (1992), "Effects of ringer's lactate, interceed (TC7) and gore-tex surgical membrane on postsurgical adhesion formation," <i>Fertility and Sterility</i> 57(1):199-201.   |   |
|  | GV       | Pyatak et al. (1980), "Preparation of a polyethylene glycol:superoxide dismutase adduct, and an examination of its blood circulating life and anti-inflammatory activity," <i>Res. Com. Chem. Path. Pharmacol.</i> 29:113-127.   |   |
|  | GW       | Ramshaw et al. (1984), "Precipitation of collagens by polyethylene glycols," <i>Anal. Biochem.</i> 141:361-365.  |   |
| ↓  | GX       | Savoca et al. (1979), "Preparation of a non-immunogenic arginase by the covalent attachment of polyethylene glycol," <i>Biochem. Biophys. Acta</i> 578:47-53 (1979).   |   |

Examiner Signature



Date Considered

12-30-04

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| Application Number     | 10/766146             |
| Filing Date            | 11-27-2004            |
| First Named Inventor   | Woonza M. RHEE et al. |
| Art Unit               | 1615                  |
| Examiner Name          | Casey Rosenthal       |
| Attorney Docket Number | 2500-2287.06          |

| OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS |          |   |
|--|----------|---|
| Examiner Initials*                               | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
| CR   | GY       | Sawhney et al. (1994), "Optimization of photopolymerized bioerodible hydrogel properties for adhesion prevention," <i>J. Biomed. Mat. Res.</i> <u>28</u> :831-838.  |
|  | GZ       | Sperinde et al. (1997), "Phase transformation poly(ethylene glycol) hydrogels for tissue engineering and cell therapies," <i>23<sup>rd</sup> Annual Meeting of the Society for Biomaterials</i> , p. 247.   |
|  | HA       | Steinleitner et al. (1991), "Poloxamer 407 as an intraperitoneal barrier material for the prevention of postsurgical adhesion formation and reformation in rodent models for reproductive surgery," <i>Obstetrics and Gynecology</i> <u>77</u> :48-52.          |
|  | HB       | Takahashi et al. (1984), "A chemical modification to make horseradish peroxidase soluble and active in benzene," <i>Biochem. &amp; Biophys. Res. Comm.</i> <u>121</u> :261-265.   |
|  | HC       | Tulandi (1991), "Effects of fibrin sealant on tubal anastomosis and adhesion formation," <i>Fertility and Sterility</i> <u>56</u> (1):136-138.  |
|  | HD       | Ulbrich et al. (1986), "Poly(ethylene glycol)s containing enzymatically degradable bonds," <i>Makromol. Chem.</i> <u>187</u> :1131-1144.  |
|  | HE       | Urman et al. (1991), "Effect of hyaluronic acid on postoperative intraperitoneal adhesion formation and reformation in the rat model," <i>Fertility and Sterility</i> <u>56</u> (3):568-570.  |
|  | HF       | Viau et al. (1986), "Safety evaluation of free radical scavengers PEG-catalase and PEG-superoxide dismutase," <i>J. Free Rad. In Bio. &amp; Med.</i> <u>2</u> :283-288.   |
|  | HG       | Viau et al. (1986), "Toxicologic studies of a conjugate of asparaginase and polyethylen glycol in mice, rats and dogs," <i>Am. J. Vet. Res.</i> <u>47</u> :1398-1401.   |
|  | HH       | West et al. (1995), "Comparison of covalently and physically cross-linked polyethylene glycol-based hydrogels for the prevention of postoperative adhesions in a rat model," <i>Biomaterials</i> <u>16</u> :1153-1156.  |
| ↓  | HI       | Wieder et al. (1979), "Some properties of polyethylene glycol: Phenylalanine ammonia-lyase adducts," <i>J. Biol. Chem.</i> <u>254</u> :12579-12587.   |

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| Examiner Signature |  | Date Considered | 12-30-04 |
|--------------------|---|-----------------|----------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.